

SOLUTION OVERVIEW FOR

Asset Managers

Proactively and Intelligently Manage Firm-wide Assets



INTRODUCTION

Transcend at a Glance

Transcend's modular solution suite empowers the profitability of collateralized businesses by **optimizing global inventory, funding and liquidity decisions.**

Unlike any other provider in the space, Transcend sits on top of your existing technology suite to accelerate ROI and holistically optimize your business.

100+

Industry Integrations

\$2.5T

Assets on Platform

35+

Solutions Implemented

135+

Team Members



100%

Client Retention

SOLUTIONS FOR THE ENTIRE CAPITAL MARKETS ECOSYSTEM ...

- Banks
- Dealers
- Custodians
- Buy-side

SERVING A BROAD SET OF BUSINESS AREAS ...

- Securities Finance
- Funding
- Treasury
- Operations
- Derivatives
- FCMs
- Prime Services
- Risk & Regulatory

What We've Heard & What We Offer

“Can you provide us with a **holistic, firm-wide inventory** view across security & cash positions”



Track your collateral across all your custodians, funds, desks and counterparties intraday and globally

“How do I know if my counterparties are **posting the best collateral** for my firm?”



Validate real-time that counterparties are meeting all your eligibility requirements for all products

“If only I could **accurately forecast cash balances**, then I could limit overdrafts and cash buffers”



Forecast your cash and collateral usage intraday and project flows over time

“Can you help **optimize collateral and cash decisions** across all obligations, entities, and funds”



Optimize the use of your scarce cash and collateral across all your margining and finance needs



Transcend in the News

Custodian Triparty Services

Transcend Partners with State Street to Build a Strategic Tri-party Platform

“State Street has announced the addition of State Street Triparty to its Collateral+ platform, in line with the strategic expansion of its collateral management programme.”

“The tri-party collateral service release comes on the back of a period of extensive testing with clients — and further testing is currently ongoing with buy-side and sell-side firms in preparation for extending this service for securities lending, repo trades and for peer-to-peer transactions that are expected to go live before the end of 2021.”



**2021 AFTAs Winner: Best Collaboration Initiative
Transcend & State Street Collateral+**

Collateral Validation Service

Acadia and Transcend Form Partnership to Mitigate UMR Challenges for the Buy-Side and Dealers

“This service allows the buy-side and broker-dealers to confidently manage the growing complexity and volume of margin activity driven by Uncleared Margin Rules (UMR).”

Transcend and Acadia’s Collateral Validation Service is designed to ensure that all end of day collateral balances held at third-party and tri-party custodians meet the required criteria, including collateral eligibility, sufficiency, concentration, and wrong-way risk according to the requirements defined in their related collateral agreements.”



A New Paradigm for Asset Managers

Integrated, holistic solutions empower the optimal management of asset inventory across custody, tri-party, segregated accounts, bilateral repo, and FCM accounts

Securities Lending

Repo

Derivatives

Ecosystem connectivity with centralized view of all assets



More powerful analytics that inform better decision making



Automated optimization of securities finance activities



Improved fund performance

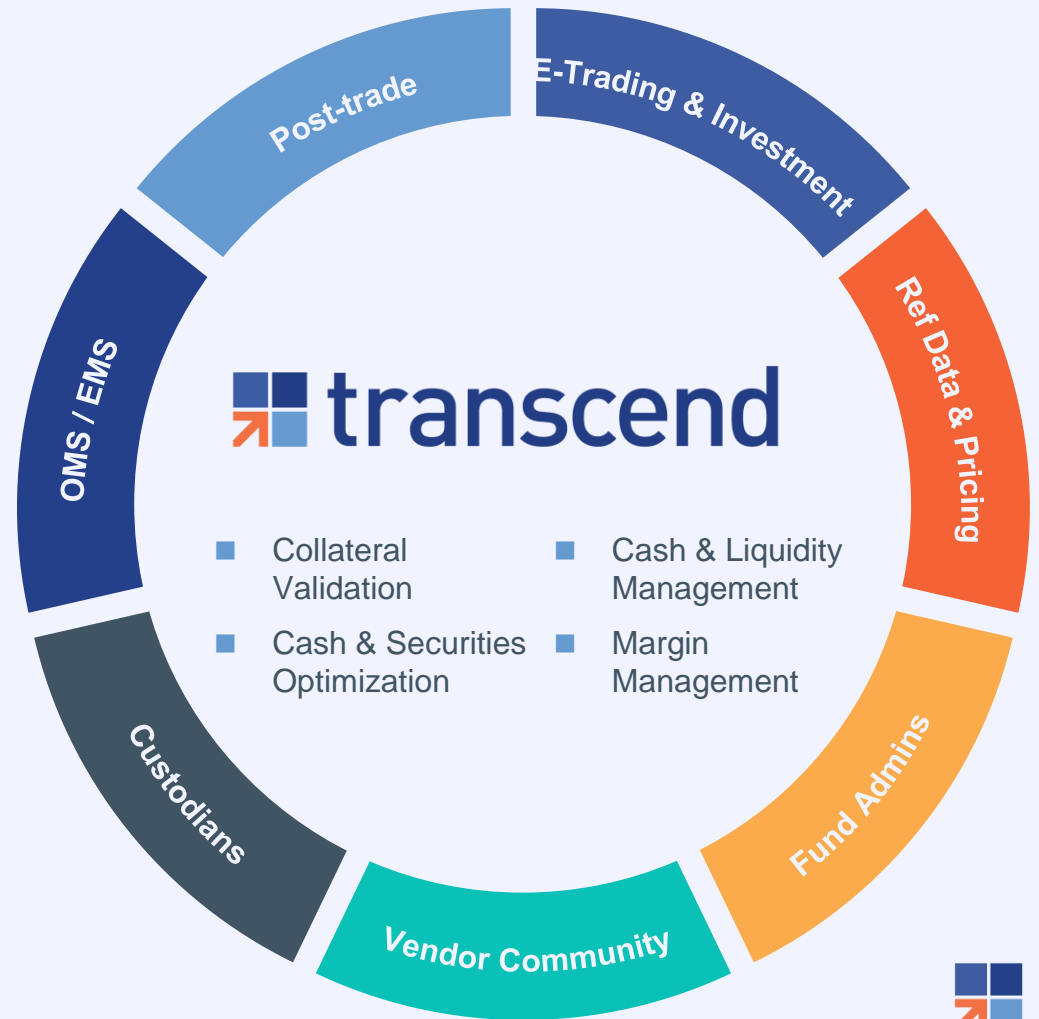


INTEGRATION SERVICE

Seamlessly Connect Your Capital Markets Ecosystem

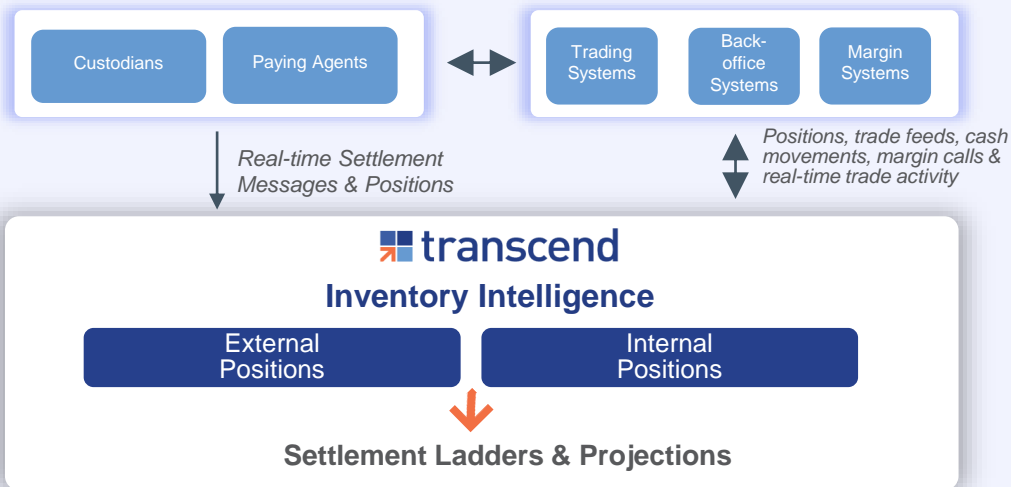
Create an end-to-end cash and collateral ecosystem that achieves seamless straight-through-processing via comprehensive messaging:

- RESTful API
- SWIFT
- SFTP



Inventory Intelligence

Gain comprehensive, real-time visibility into your firm's asset inventory, where assets are, which fund owns them and how quickly they are expected to move. Harmonize, forecast, and manage portfolio cash and securities across global custodians and paying agents.



Key Benefits

- Enforce better coordinated decision-making across the firm, fund, desk, etc. with one view for all users
- Aggregate siloed data to perform analysis and drive optimal decisions based on positions and projected settlements
- Enable more powerful analytics, optimization, and mobilization

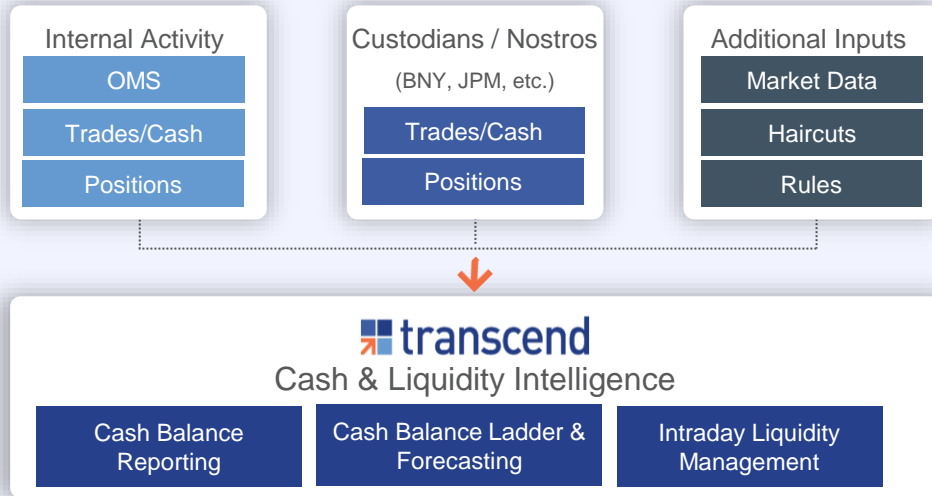
Notable Features

- Holistic forecasting of settlement ladders
- View activity in external depos and internal accounts – all in real-time
- Connect global depositories with front- and back-office systems to automatically integrate real-time activity
- Integrated Booking Service for push-button execution and STP



Cash & Liquidity Intelligence

Transcend Cash and Liquidity Intelligence harmonizes, analyzes, and projects cash and securities balances across custodians, nostros, and internal accounts for more effective cash and liquidity management.



Key Benefits

- Improve financial performance through more efficient cash usage
- Avoid and proactively cure cash deficits
- Prevent unnecessary draws from credit lines
- Limit the size of cash buffers in accounts
- Improve forecasting across funds / accounts

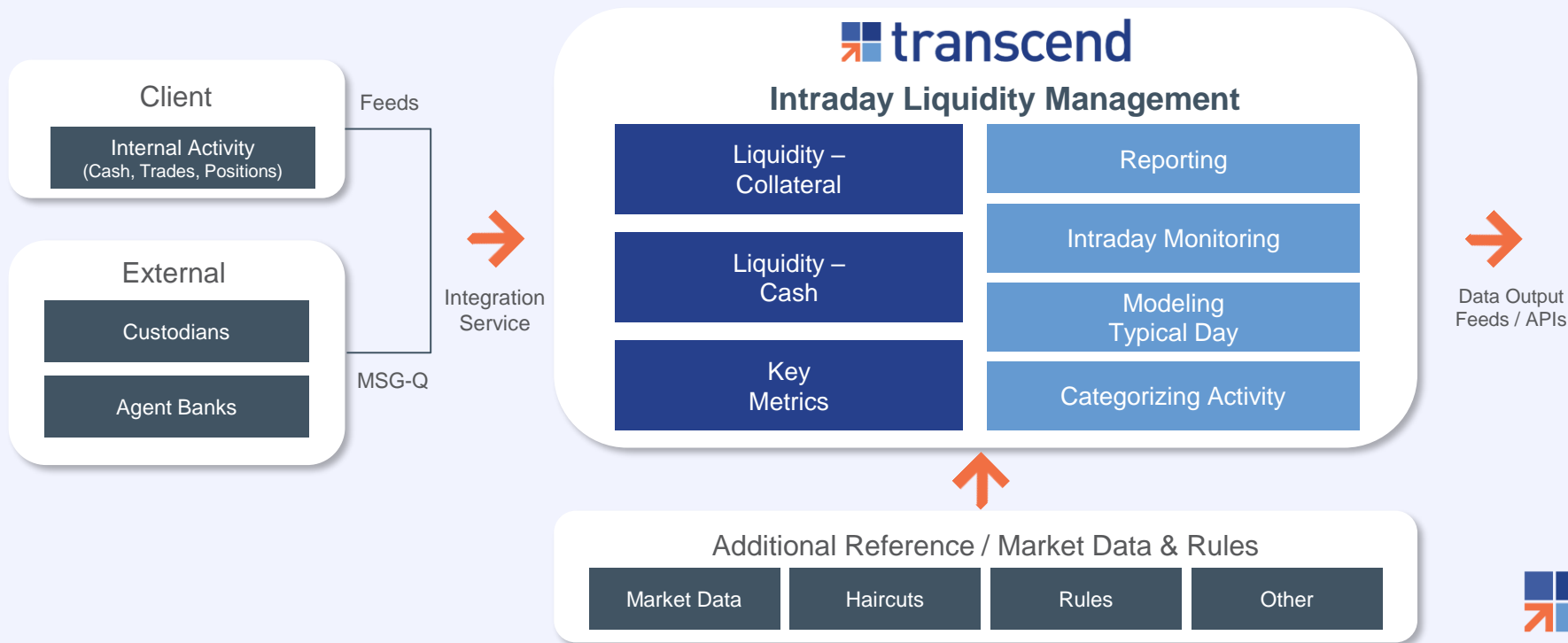
Notable Features

- Intraday cash flow / liquidity projections at specified time intervals
- Real-time integration of cash activity in nostros
- Ability to analyze cash ladders across various dimensions (currency, transaction type, derivative payments, corporate actions, paying agent, etc.)
- Automated alerts for nostro limit breaches
- Insights into intraday activity levels



Intraday Liquidity Management

Consolidated real-time enterprise-wide visibility into both securities & cash flows



Additional Intraday Liquidity Features

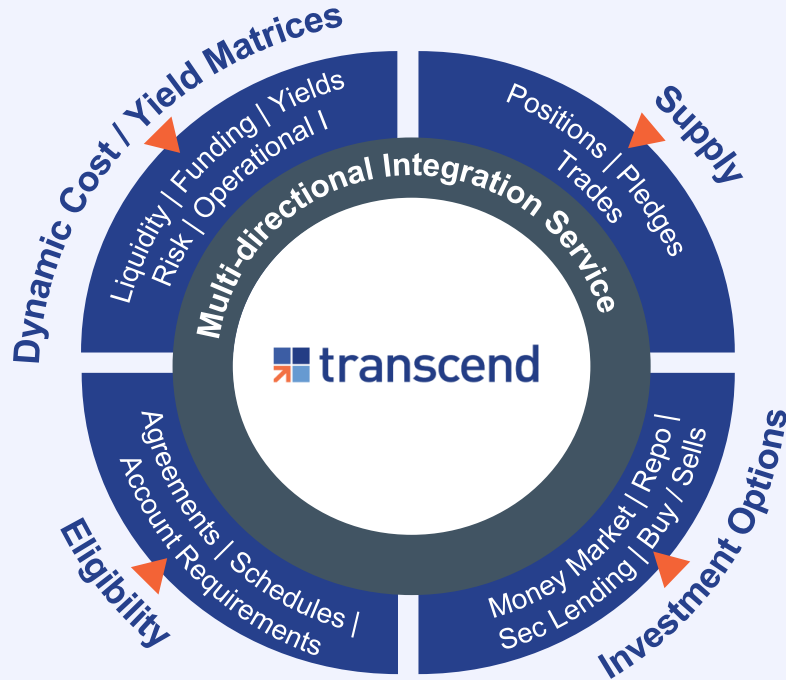


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- Automated alerts for nostro limit breaches
- Insights into intraday activity levels
- Ability to support SWIFT and non-SWIFT messages
- Forecast cash or security needs based on internal activity and/or external messages
- Highly scalable based on processing needs
- Ability to extract any/all data
- Customizable dashboards



Cash Optimization

Identify the best investment and borrowing options in order to optimally leverage available cash across currencies



Key Benefits

- Create additional alpha on available liquidity
- Gain transparency into various investment and lending alternatives for better decision-making
- Automatically generate recommended cash allocations with unique algorithmic and rules-based processing

Notable Features

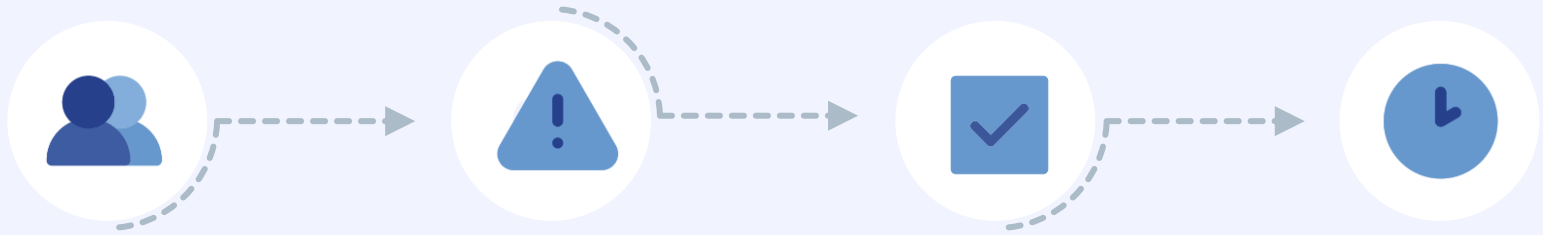
- A full suite of Integration Services for connectivity and real-time messaging to offer a 'zero touch' workflow
- Complete configurability with a broad selection of optimization criteria including investment returns; funding, operational, liquidity and credit costs; and cash account requirements
- Multi-directional integration service sources cash data and communicates investment instructions to your Buy-side ecosystem (OMS, outsourcers, fund admins, vendors)



WHAT'S COMING...

The Transformation Roadmap

Focuses, opportunities, community growth to strengthen the Transcend value proposition



Increasing Value Through Partnerships

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The Asset Manager's Dilemma

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Expanding the Scope of Collateral Validation

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Are You Ready for T+1?

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